

EXHIBIT “E”



**TO:** Neal Brickman, Esq.  
Nirav S. Shah, Esq.

**From:** Leonie A. Feliciano  
Senior Case Administrator

**Subject:** FINRA Dispute Resolution Services Arbitration Number 22-02539  
Simon D. Andriesz vs. BGC Financial, L.P., Cantor Fitzgerald & Co., Jean Pierre Aubin, Michael Carl Sulfaro, et al.

**Date:** July 11, 2024

I am writing in response to your July 8, 2024, letter to Richard Berry, Executive Vice President, and Director of FINRA Dispute Resolution Services. In your letter, you request that your prior correspondence seeking an explained decision be transmitted to the Panel and that the Panel provide the parties with an explanation of their decision. You also state that this case is not closed.

Please note that this case was closed when the award was served on June 17, 2024. This reaffirms the Director's July 5, 2024, determination that the submission made by Simon David Andriesz does not comply with the grounds enumerated in Rule 13905 and, accordingly, will not be sent to the arbitrators.

You also emailed your July 2, 2024, letter seeking an explained decision directly to the arbitrators. Please be advised that the arbitrators have declined to consider your request.

If you have any questions, please do not hesitate to contact me at 212-858-3928 or by email at [Neprocessingcenter@finra.org](mailto:Neprocessingcenter@finra.org).

LZH:mco:LC53A  
idr: 02/18/2020

**RECIPIENTS:**

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On Behalf Of: Simon David Andriesz

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On Behalf Of: BGC Financial, L.P.; Cantor Fitzgerald & Co.; Jean Pierre Aubin; Paul Marc Pion; William Michael Shields; Mark Webster